

# Amatoxin-based antibody-drug conjugates induce immunogenic cell death and improve the anti-tumor efficacy of immune checkpoint inhibitors in humanized mouse models

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## INTRODUCTION

Anti-tumor activity of therapeutic antibodies can be significantly enhanced by conjugation to cytotoxic small molecules. By using such antibody-drug conjugates (ADCs) the toxin is exclusively delivered to target cells and thereby kills only those cells. Beside the currently approved ADCs including Enhertu (HER2), Trodelvy (Trop-2), Blenrep (BCMA), and Zynlonta (CD19), 189 ADCs have entered clinical trials, promising to strengthen the therapeutic capabilities for cancer treatment. Most ADCs are based on few cytotoxic mechanisms with topoisomerase-, microtubule- or DNA-targeting toxins as payloads. Heidelberg Pharma focuses on **amanitin-based ADCs**, so called **ATAC<sup>®</sup>**, comprising a new class of ADCs with amanitin as toxic payload (1). Amanitin is the well-known toxin of the amatoxin family which specifically binds to the eukaryotic RNA polymerase II thereby inhibiting the cellular transcription process (2, 3). Heidelberg Pharma also pursues the strategy of **site-specific conjugation** to limit heterogeneity of drug-antibody species, to improve conjugate stability, and to increase the therapeutic window of ADCs. For this purpose, antibodies are engineered at specific locations to incorporate reactive cysteines.

In the current study, we show that ATAC<sup>®</sup>-based ADCs belong to the class of immune activating drugs which exhibit synergistic anti-tumor efficacy with immune checkpoint inhibitors (ICIs) *in vivo* and induce immunogenic cell death (ICD). ICIs are a class of cancer therapeutics utilizing patients' immune system to kill cancer cells. ICIs rely on the activity of the immune system to develop their full potential. Therefore, drugs that are heating up cold tumors and make them visible to the patients' immune system and by that enhancing the anti-tumor efficacy of ICIs, e.g., ATAC<sup>®</sup>, are on high demand for the treatment of tumor patients.

## METHODS

**Cell lines:** JIMT-1 and Raji cells were obtained from DSMZ and NCI-N87 from ATCC.

**Synthesis of conjugates:** Cysteine-reactive amanitin-linker constructs were synthesized at Heidelberg Pharma and were site-specifically conjugated to anti-HER2 (variable domains of trastuzumab, cysteine engineered monoclonal IgG backbone, HDP) and chimeric anti-CD19 antibody (DKFZ Heidelberg, Germany; cysteine engineered monoclonal IgG backbone, HDP). Drug-antibody ratio for amanitin conjugates according to LC-MS analysis was ~2.0 amanitins per IgG.

**Flow cytometry:** Apoptosis and ICD was determined by staining the cells with Annexin V-FITC, 7AAD and anti-calreticulin-FITC, respectively. Staining was measured by using FACS Lyric (BD Biosciences).

**Immunohistochemistry:** Tumors were fixed with 4% formalin, embedded in paraffin and stained with the anti-HER2 antibody Trastuzumab (Proteinase K antigen retrieval) followed by an anti-human IgG-HRP antibody.

**Immunofluorescence:** Tumors were snap frozen and embedded in OCT. 7µm sections were fixed with 4% formalin and stained with anti-human F(ab')<sub>2</sub> fragment-AF488, anti-HMGB1-AF647, anti-calreticulin and anti-mouse IgG-AF488.

**Animal models:** 5x10<sup>6</sup> JIMT-1 or NCI-N87 cells were injected s.c. into 6-8 weeks old female NMRI Nude mice. When tumor volumes reached a mean of app. 150 mm<sup>3</sup>, mice were treated with a single i.v. dose of an anti-HER2 ATAC<sup>®</sup> (T-Ama). 2-4 weeks after complete tumor remission (CR) was achieved, mice were re-inoculated with 5x10<sup>6</sup> tumor cells.

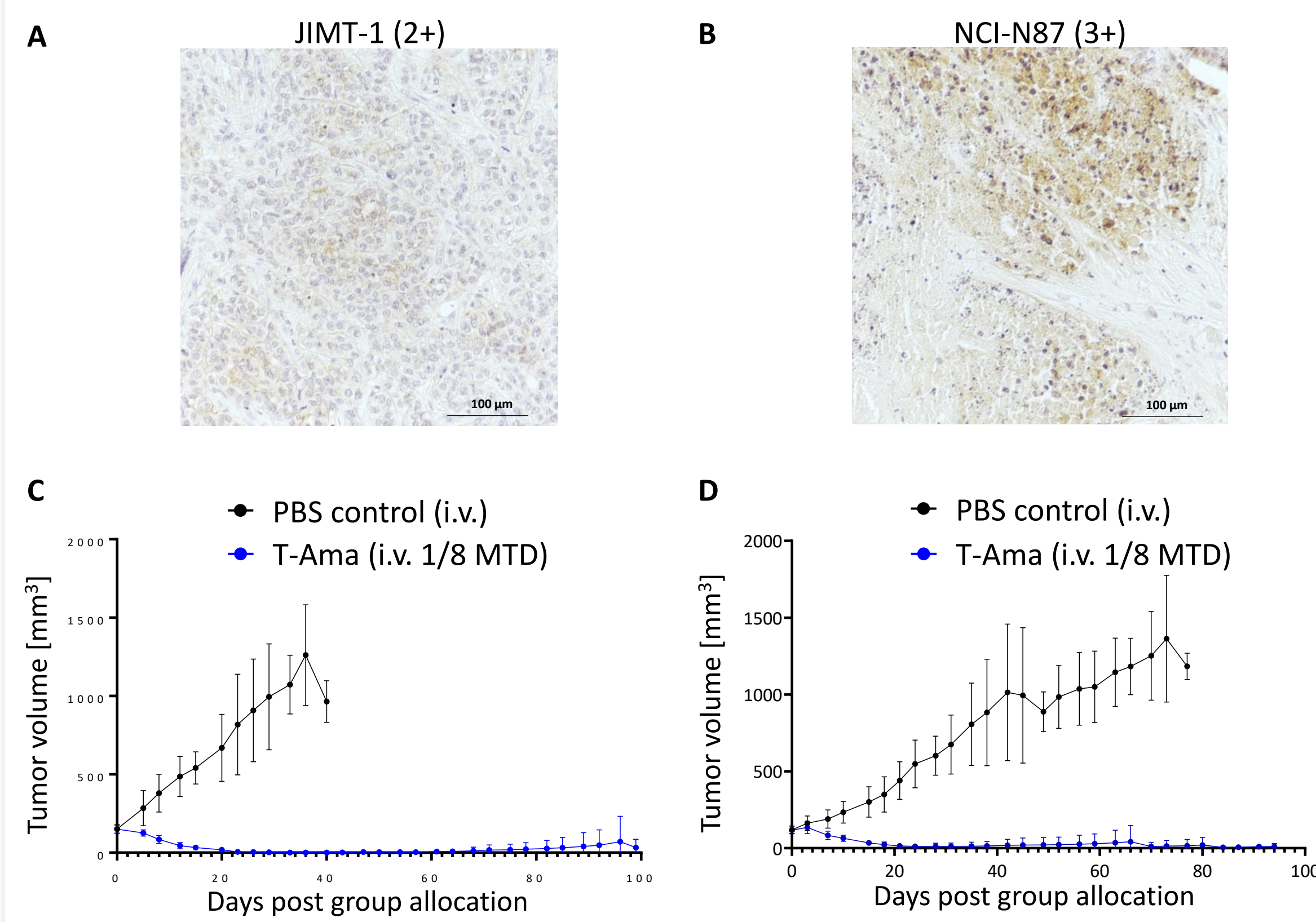
HER2 (1+) TNBC PDX studies were performed at XenTech (Evry, France). Mice were treated with a single dose of anti-HER2 ATAC<sup>®</sup> i.v. at a mean tumor volume of 170 mm<sup>3</sup>.

2.5x10<sup>6</sup> Raji cells in a mix with 1x10<sup>7</sup> human PBMCs were injected s.c. into 6-8 weeks old NOD Scid mice. On the same day, treatment was initiated with either a single i.v. dose of anti-CD19 ATAC, Ipilimumab (q3dx5), Pembrolizumab (q3dx5), Avelumab (q3dx6) or a combination of ATAC<sup>®</sup>-based ADC and an ICI.

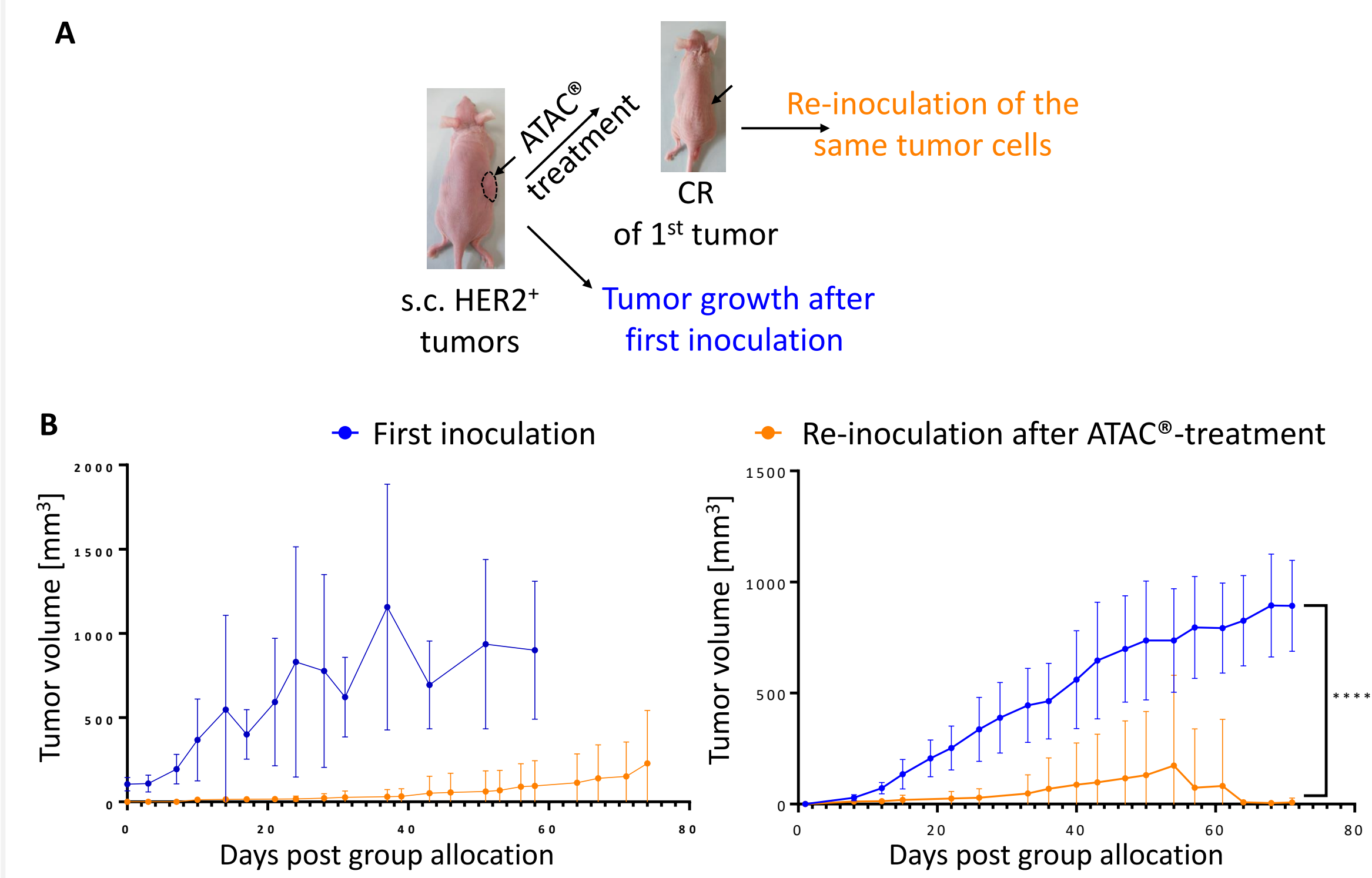
## 1. ATAC<sup>®</sup>-treatment of homogenous cell line-derived xenografts leads to complete tumor remission and immunity towards tumor re-challenge.

HER2 expressing homogenous CDX model of breast (JIMT-1) or gastric carcinoma (NCI-N87) in NMRI nude mice were treated with a single i.v. dose of anti-HER2 ATAC<sup>®</sup> (T-Ama). ATAC<sup>®</sup>-treatment led to a complete tumor remission (CR) as compared to control treatment (Figure 1).

Interestingly, mice re-challenged with the same tumor cell line after CR achieved by ATAC<sup>®</sup>-treatment, developed tumors with significantly lower tumor volume than mice in which the tumor was inoculated for the first time (Figure 2).



**Figure 1: Anti-HER2 ATAC<sup>®</sup>-treatment led to CR in HER2<sup>+</sup> breast and gastric cancer CDX models. A-B:** Immunohistochemistry staining for HER2 protein expression in a s.c. breast cancer JIMT-1 (A) and gastric cancer NCI-N87 (B) CDX tumor. **C-D:** Anti-tumor efficacy of an anti-HER2 ATAC<sup>®</sup> in breast cancer JIMT-1 (C) or gastric cancer NCI-N87 (D) CDX model. Mice were treated with either PBS (control) or an anti-HER2 ATAC<sup>®</sup> (n=10 mice, Mean +/- SD).

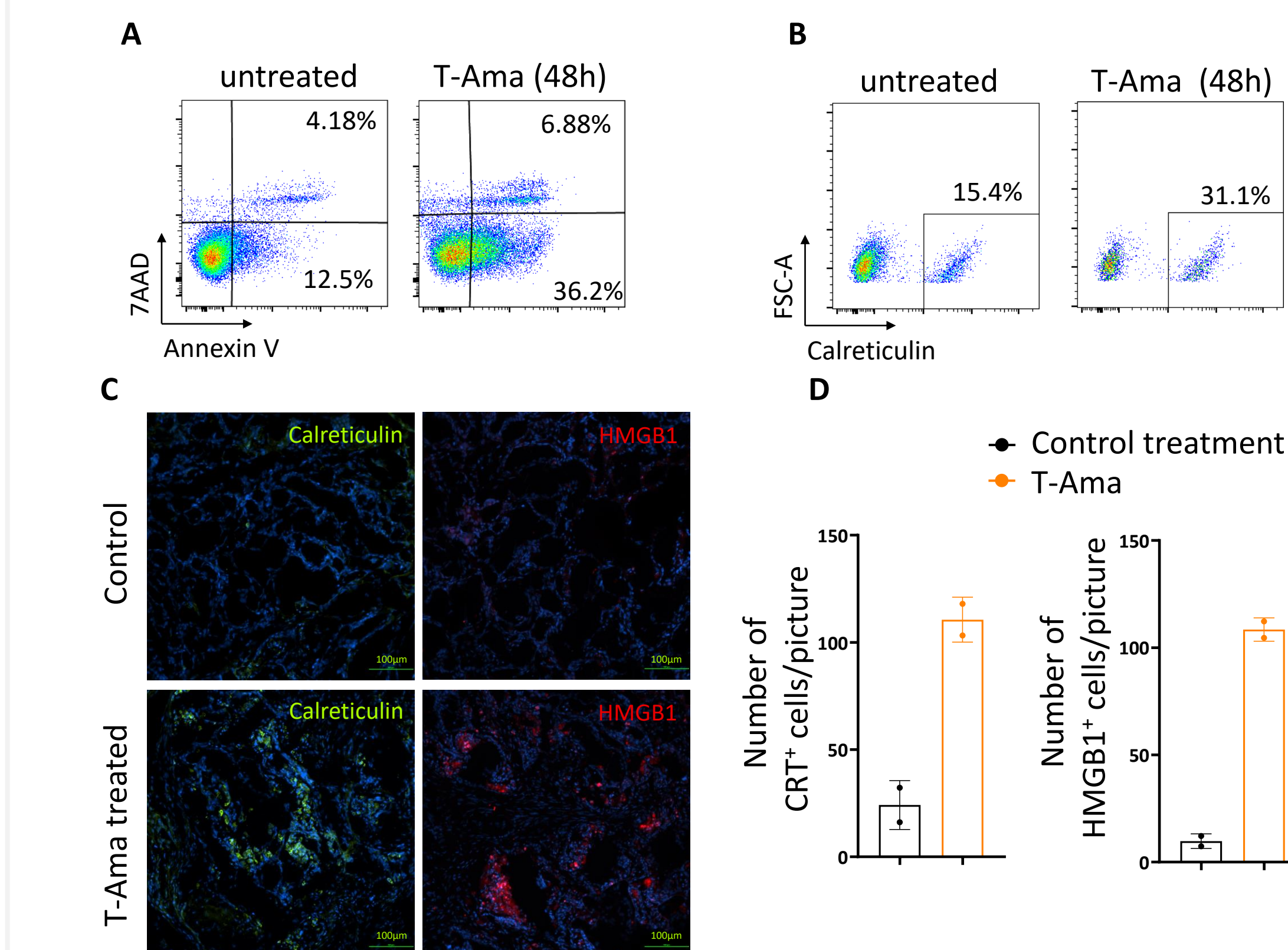


**Figure 2: Anti-HER2 ATAC<sup>®</sup>-treatment resulted in significantly reduced tumor growth of HER2<sup>+</sup> JIMT-1 and NCI-N87 cancer cells after re-inoculation. A:** Scheme of the experimental set-up of the re-challenge experiment. **B:** Tumor growth of JIMT-1 (left) or NCI-N87 (right) in untreated mice was compared to tumor growth in mice which were re-challenged with the same tumor after CR by ATAC<sup>®</sup>-treatment. (n=15 mice, Mean +/- SD; \*: p-value < 0.0001 (unpaired Welch t-test, Holm-Sidak correction)).

## RESULTS

### Treatment with anti-HER2 ATAC<sup>®</sup> induces immunogenic cell death in homogenous HER2<sup>+</sup> cell line-derived and heterogenous HER2<sup>+</sup> patient-derived xenograft models.

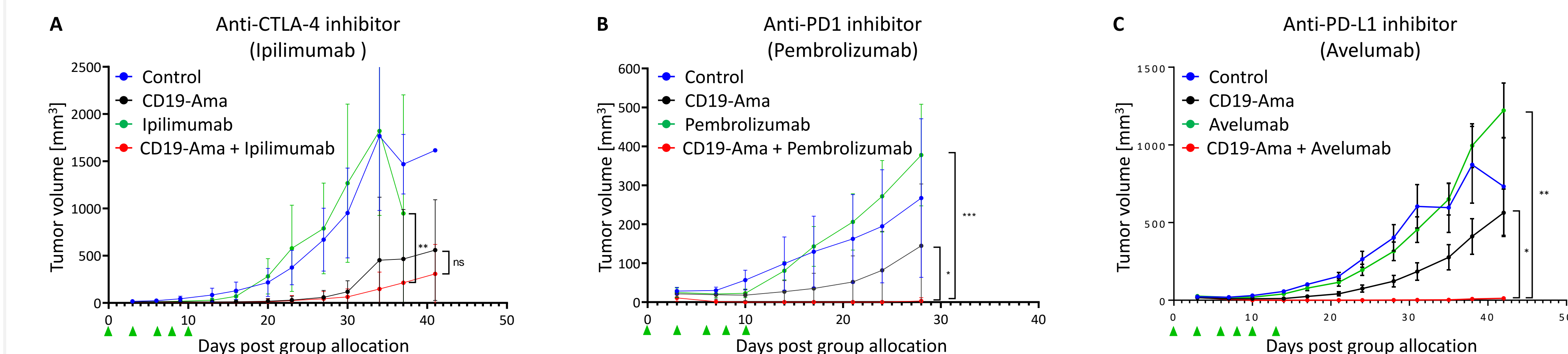
Based on the finding that ATAC<sup>®</sup>-treatment reduces take rate of the same tumor upon re-challenge, it was investigated whether ATAC<sup>®</sup>-based ADCs can activate the immune system. Consequently, ICD marker including calreticulin and HMGB1 were analyzed *in vitro* and *in vivo*. Treatment of NCI-N87 with anti-HER2 ATAC<sup>®</sup> led to increased apoptosis and surface expression of calreticulin (CRT) compared to untreated cells *in vitro* (Figure 3). This observation was confirmed in NCI-N87 CDX tumors *in vivo* (Figure 3). Interestingly, only in HlgG<sup>+</sup> tumor regions HMGB1 expression (Figure 4) was observed in mice which were treated with anti-HER2-ATAC<sup>®</sup>. Additionally, single i.v. dose of an anti-HER2 ATAC<sup>®</sup> resulted in a significant tumor growth delay in a HER2 low expressing heterogeneous patient-derived xenograft (PDX) model of TNBC. Like CDX tumors, enhanced HMGB1 expression was observed in PDX tumors treated with anti-HER2 ATAC<sup>®</sup> (Figure 5).



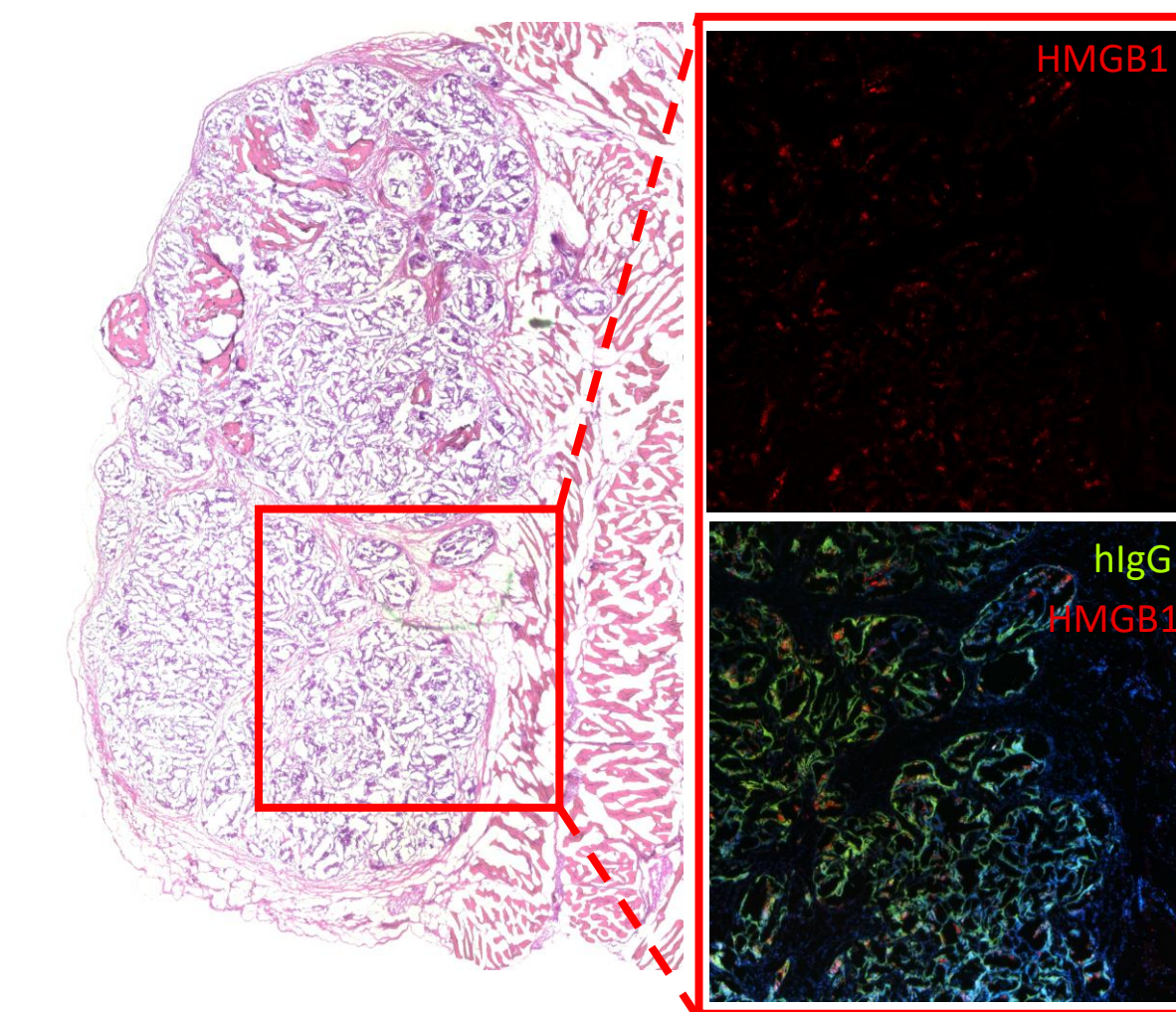
**Figure 3: ATAC<sup>®</sup>-treatment induces apoptosis and triggers ICD *in vitro* and *in vivo*. A:** Early (Annexin V<sup>+</sup>/7AAD) and late apoptosis (Annexin V<sup>+</sup>/7AAD<sup>+</sup>) of untreated or anti-HER2 ATAC<sup>®</sup>-treated NCI-N87 cells was determined by flow cytometry. **B:** Surface calreticulin expression on untreated or anti-HER2 ATAC<sup>®</sup>-treated NCI-N87 cells was analyzed by flow cytometry. **C-D:** IF staining (C) and statistical evaluation (D) of calreticulin (green) and HMGB1 (red) on NCI-N87 CDX tumors either anti-HER2 ATAC<sup>®</sup>-treated or untreated (n=2).

### 3. Combined treatment of ATAC<sup>®</sup>-based ADCs with immune checkpoint inhibitors leads to a synergistic anti-tumor effect *in vivo*.

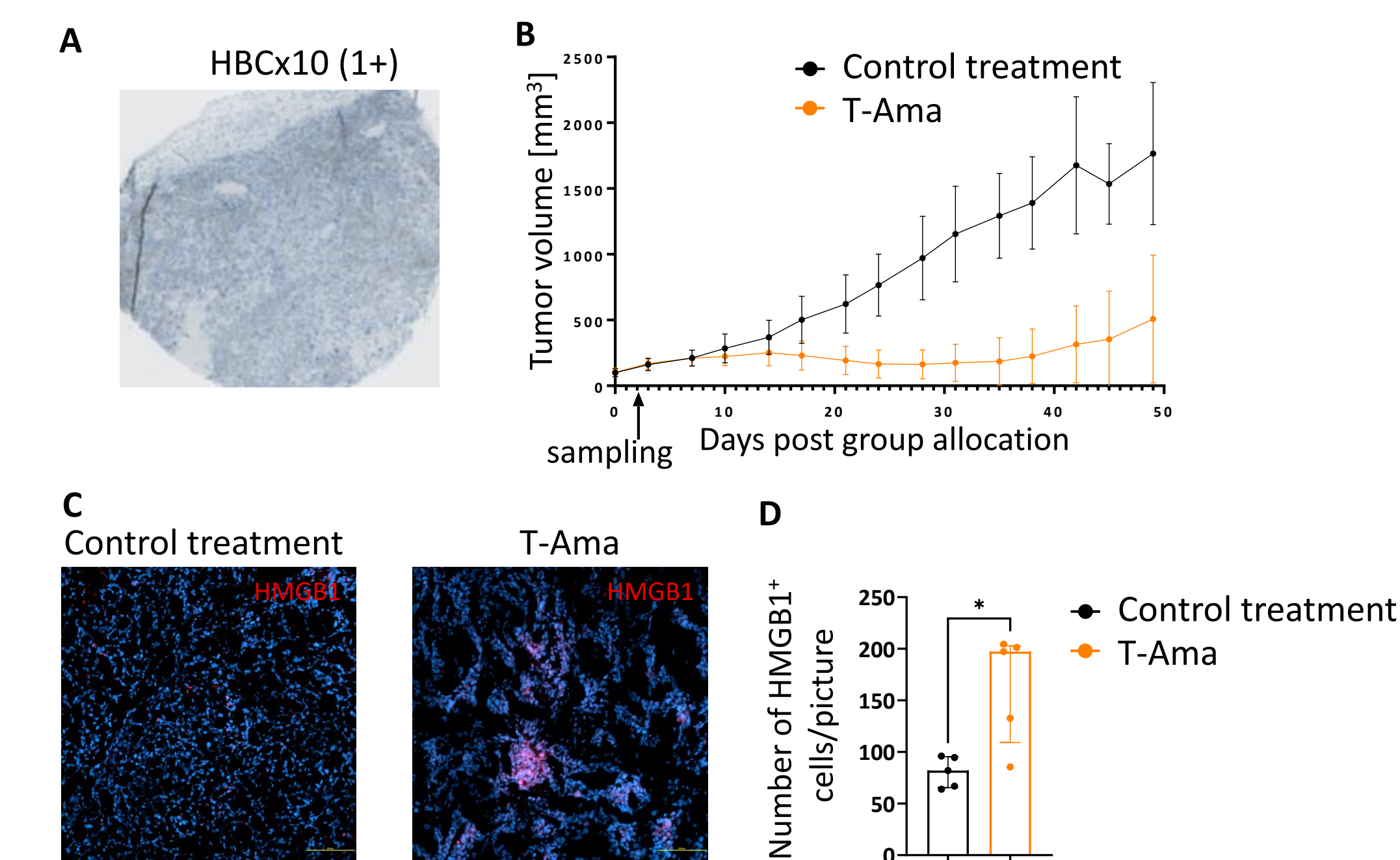
The immunity towards re-challenge of CDX tumors, the anti-tumor effect on heterogenous PDX model, together with the induction of ICD in CDX as well as PDX models suggest an activation of the immune system upon ATAC<sup>®</sup>-treatment. Consequently, it was investigated whether combination of ATAC<sup>®</sup>-based ADCs and ICIs (Ipilimumab, Pembrolizumab, Avelumab) may have a synergistic effect.



**Figure 6: Combined treatment with an ATAC<sup>®</sup>-based ADC and ICI results in a synergistic anti-tumor effect in a s.c. Burkitt lymphoma model. A-C:** Tumor growth of Raji cells inoculated s.c. in a 1:4 ratio with human PBMCs. Tumors were treated with PBS, an anti-CD19 ATAC<sup>®</sup>, Ipilimumab (q3d x 5) (A), Pembrolizumab (q3d x 5) (B), Avelumab (q3d x 6) (C) or in combination of ATAC<sup>®</sup>-based ADC and ICI. \* p<0.05; \*\* p<0.001; \*\*\* p<0.0001 unpaired Welch t-test; Holm-Sidak correction.



**Figure 4: ATAC<sup>®</sup>-treatment induces HMGB1 expression in HlgG<sup>+</sup> tumor cells. H&E staining of NCI-N87 CDX tumor treated with anti-HER2 ATAC<sup>®</sup>. Immunofluorescence staining of fresh frozen tumor sections for HMGB1 and human IgG (HlgG).**



**Figure 5: Anti-HER2 ATAC<sup>®</sup>-treatment led to CR in a Her2 (1+) breast cancer PDX model. A:** IHC staining for HER2 protein expression in a s.c. TNBC PDX model. **B:** Anti-tumor efficacy of an anti-HER2 ATAC<sup>®</sup> in the same breast cancer PDX. Mice were treated with either non-targeting or an anti-HER2 ATAC<sup>®</sup> (n=10 mice, Mean +/- SD). **C:** IF staining (C) and statistical evaluation (D) of HMGB1 (red) expression on PDX tumors after the treatment with control ATAC or an anti-HER2 ATAC<sup>®</sup> (n=5).

## CONCLUSION

The treatment with ATAC<sup>®</sup>-based ADCs led to complete and stable tumor remission in different cell line-derived xenograft models with homogenous antigen expression. The induced immunity in mice that achieved complete and stable tumor remission upon ATAC<sup>®</sup>-treatment suggest an activation of the immune system.

The complete remission of heterogenous patient-derived xenograft tumors which were treated with ATAC<sup>®</sup>-based ADCs further underline the involvement of the immune response because previous in-house studies already showed that ATAC<sup>®</sup>-based ADCs do not have any bystander effect upon extracellular drug-release.

This observation was accompanied by the finding of enhanced ICD marker expression *in vitro* and *in vivo*. Especially, the colocalization of HlgG<sup>+</sup> tumor cells and HMGB1 staining highlights the correlation between the treatment with ATAC<sup>®</sup>-based ADCs and the induction of ICD.

Finally, the synergistic effect *in vivo* of ATAC<sup>®</sup>-based ADCs and ICIs support the previous stated link between the activation of the immune system due to ATAC<sup>®</sup>-treatment.

Together, the presented data highlights the general concept of the synergistic effect of ATAC-based ADCs<sup>®</sup> and ICIs which applies to several types of ICIs thus strengthening the scientific rationale for combination treatments in clinical trials.

## REFERENCES

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